



November 4, 2016

Brigitte Scheiber, Strategic Purchasing
Plansee SE
Metallwerk Plansee Straße 71
6600 Reutte, Austria
brigitte.scheiber@plansee.com

Dear Ms. Scheiber,

Thank you for your participation in the Conflict-Free Sourcing Initiative's (CFSI) Pilot Program for downstream companies. Companies eligible for the program are engaged in the tin, tantalum, tungsten or gold (3TG) supply chains, but do not meet the definition of a smelter or refiner as defined in the relevant CFSP Protocols, and therefore are not eligible to undergo the Conflict-Free Sourcing Program Audit. The CFSI is developing this voluntary program in response to requests for a mechanism for downstream companies to obtain independent validation of their responsible 3TG sourcing practices. To develop and refine the program, six companies volunteered to participate including Plansee SE.

The program employs the OECD Due Diligence Guidance for Responsible Mineral Supply Chains from Conflict-Affected and High-Risk Areas (Guidance) to assess that, at a minimum:

- The company's due diligence system is designed in accordance with the Guidance;
- There is no reason to believe goods directly or indirectly financed or benefited armed groups that are perpetrators of serious human rights abuses in the DRC or an adjoining country; and
- All sources of 3TG are identified and confirmed to be:
 - Scrap or recycled material; and/or
 - Conflict-Free Smelter Program (CFSP) or equivalent validated companies.

The pilot program follows the ISO19011:2011 Standard for management systems audits.

Plansee SE completed a pilot assessment on 13 October 2016 at the following location(s):

Metallwerk Plansee Straße 71
6600 Reutte, Austria

Companies who participate in the program receive an Audit Summary Report that can be shared with interested customers or made public (confidential information redacted). Once the pilot is completed, CFSI will include a public listing of compliant program participants via an online CFSI registry that includes the following information:

- Company Name
- Company Address
- Date of the Audit
- Summary Audit Report



The Conflict-Free Sourcing Initiative

www.conflictreesourcing.org | @EICCcoalition | @GeSICconnect

Further details on the Downstream Program will be available on the CFSI's website shortly.

Thank you for your engagement with the CFSI. Please contact info@conflictreesmelter.org for further information.

Sincerely,

CFSI Staff